

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
15689.91

In Re Application Of:

Shinji Uebayashi, et al.

Application No.	Filing Date	Examiner	Customer No	Group Art Unit	Confirmation No.
09/998,599	11/16/2001	Chirag G. Shah	022913	2616	4705

Title:

MOBILE STATION, BASE STATION AND COMMUNICATION METHOD

Address to:
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

37 CFR 1.97(b)

1. ☐ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

2. ☒ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);

OR

☒ the fee set forth in 37 CFR 1.17(p).

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 197(b) or 1.97(c))

Docket No.
15689.91

In Re Application Of:

Shinji Uebayashi, et al.

Application No. 09/998,599	Filing Date 11/16/2001	Examiner Chirag G. Shah	Customer No 022913	Group Art Unit 2616	Confirmation No. 4705
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Title:

MOBILE STATION, BASE STATION AND COMMUNICATION METHOD

Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \$ _____ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. 23-3178 as described below.
- ☐ Charge the amount of \$ _____.
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.
- ☒ Payment using the Credit Card payment option in E-Filer with RAM will be used for payment.

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.



Signature

Dated: November 8, 2006

ADRIAN J. LEE
Reg. No.: 42,785
Attorney for Applicant

Via E-File

PATENT APPLICATION
Docket No: 15689.91

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of)	
	Shinji Uebayashi, et al.)	
Serial No.:	09/998,599)	Art Unit
Confirmation No.:	4705)	2616
Filed:	November 16, 2001)	
For:	MOBILE STATION, BASE STATION AND COMMUNICATION METHOD)	
Examiner:	Chirag G. Shah)	
Customer No.:	022913)	

INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. § 1.97

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Please find, pursuant to 37 C.F.R. § 1.98(a)(1), the enclosed Form PTO-1449 which contains a list of all patents, publications, or other items that have come to the attention of one or more of the individuals designated in 37 C.F.R. § 1.56(c). While no representation is made that any of these references may be "prior art" within the meaning of that term under 35 U.S.C. §§ 102 or 103, the enclosed list of references is disclosed so as to fully comply with the duty of disclosure set forth in 37 C.F.R. § 1.56.

Moreover, while no representation is made that a specific search of office files or patent office records has been conducted or that no better art exists, the undersigned attorney of record believes that the enclosed art is the closest to the claimed invention (taken in its entirety) of which the undersigned is presently aware, and no art which is closer to the claimed invention (taken in its entirety) has been knowingly withheld.

In accordance with 37 C.F.R. §§ 1.97 and 1.98, a copy of each of the listed references or relevant portion thereof is also enclosed.

In accordance with 37 C.F.R. § 1.98(a)(3), the following concise explanation of the relevance of each listed reference that is not in the English language and unaccompanied by a translation into English is provided. The concise explanation for Japanese Publication No.: 08-265835 is provided in the English abstract of that publication. The concise explanation for Japanese Publication No.: 09-083429 is provided in the English abstract of that publication. The concise explanation for Japanese Publication No.: 09-261761 is provided in the English abstract of that publication. The concise explanation for Japanese Publication No.: 10-136429 is provided in the English abstract of that publication. The concise explanation for Japanese Publication No.: 2000-092541 is provided in the English abstract of that publication. The concise explanation for Japanese Publication No.: 2002-159058 (Application No.: 2000-351886) is provided in the English abstract of that publication. The concise explanation for Japanese Publication No.: 2002-135847 (Application No.: 2000-327639) is provided in the English abstract of that publication.

In accordance with 37 C.F.R. § 1.97(c), payment in the amount of \$180.00 using the Credit Card payment option in E-Filer with RAM will be used to cover the payment of the fees to secure consideration of the references submitted with this Information Disclosure Statement. Please credit

any over payment or charge any additional fees to Deposit Account No. 23-3178 of the undersigned.

DATED November 8, 2006.

Respectfully submitted,

/ADRIAN J. LEE/

Adrian J. Lee
Registration No. 42,785
Attorney for Applicants
Customer No. 022913

AJL: ds
DS0000006878V001

INFORMATION DISCLOSURE CITATION*(Use several sheets if necessary)*

Docket number (Optional)

15689.91

Application Number

09/998,599

Applicant(s)

Shinji Uebayashi, et al.

Filing Date

11/16/2001

Group Art Unit

2616**U.S. PATENT DOCUMENTS**

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

U.S. PATENT APPLICATION PUBLICATIONS

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

FOREIGN PATENT DOCUMENTS

	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO
	1	08-265835	10/11/1996	Japan	H04Q7	36	x	
	2	09-083429	03/28/1997	Japan	H04B7	26	x	
	3	09-261761	10/03/1997	Japan	H04Q7	36	x	
	4	10-136429	05/22/1998	Japan	H04Q7	22	x	
	5	2000-092541	03/31/2000	Japan	H04Q7	22	x	
	6	2002-135847	05/10/2002	Japan	H04Q7	38	x	
	7	2002-159058	05/31/2002	Japan	H04Q7	38	x	
	8	WO00/42808	07/20/2000	PCT	H04Q7	38	x	

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

